

The Underlying Event in Hard Interactions at the Tevatron $\bar{p}p$ Collider

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Abstract

We measure the ambient charged track momentum observed in events containing large transverse energy jets at $\sqrt{s} = 1800$ GeV and $\sqrt{s} = 630$ GeV and compare this ambient momentum level with that observed both in minimum bias events and with that predicted by two Monte Carlo models. Two cones in η - ϕ space are defined, at the same pseudo-rapidity, η , as the jet with the highest transverse energy ($E_T^{(1)}$), and at $\pm 90^\circ$ in the azimuthal direction, ϕ . The total charged track momentum inside each of the two cones is measured. The minimum momentum in the two cones is almost independent of $E_T^{(1)}$ and is consistent with the momentum levels observed in minimum bias events, whereas the maximum momentum increases roughly linearly with the jet $E_T^{(1)}$ over most of the measured range. The distribution of the sum of the track momenta in the two cones is examined for five different $E_T^{(1)}$ bins. HERWIG [1] and PYTHIA [2] are reasonably successful in describing the data, but neither can describe completely all of the event properties.

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Jet production at hadron colliders, the highest energy probe in particle physics, has been used to measure parton distribution functions, the running of the strong coupling constant, α_s , and to search for new physics. At the Fermilab $\bar{p}p$ collider, the jet production rate has been measured for jets of 15-450 GeV at $\sqrt{s} = 1800$ GeV [3], [4], [5], [6] and jets of 15-150 GeV at $\sqrt{s} = 630$ GeV [7], [8]. The production of jets involves the interaction of an individual parton (quark or gluon) from one beam hadron with a parton from the other beam hadron. Each of the interacting partons carries only a fraction of the parent hadron's momentum with the residual momentum remaining with the other (spectator) constituents of the hadron. In addition, there are interactions between the spectator constituents of the two hadrons which normally occur at low momentum transfers. Measurements involving the observed jets are compared to perturbative QCD predictions. For NLO perturbative QCD predictions, only the parton level cross section, i.e. the cross section of two partons producing either two or three partons in the final state, is calculated. After convolution with the parton distribution functions, this cross section is directly compared with experimental data. For these comparisons to be valid, the energy from spectator interactions, which may fall in the jet cone, must be subtracted from the experimentally observed jets. In hard interaction jet events, the energy outside the two primary jets consists of energy from spectator interactions (soft and semi-hard), initial and final state radiation and any hadronization leakage from the jet cones. Initial and final state radiation effects are part of higher order perturbative QCD calculations and at least a portion of these effects are already included in NLO calculations.

Because the CDF detector measures the momenta of low P_T tracks more accurately than the calorimeter measures their energies, we choose to work with the track momenta in our analysis. We will call the charged track momenta associated with spectator interactions the *underlying event momentum*. It is the momentum in an event which is not directly related to the hard interaction. Clearly this is a working definition as a coupling exists between all aspects of a $\bar{p}p$ interaction. For example, the hadronization of the partons from the hard interaction and from the spectator interactions are ultimately linked as the final state hadrons must be colorless. In current QCD studies at hadron colliders, the underlying event energy in the jet events is assumed to be well approximated by the ambient energy in the events collected with minimal trigger requirements. Normally, these *minimum bias* events are triggered by presence of particles away from the beam in the forward and backward direction. The subtraction of the underlying event energy leads to the largest uncertainty

in jet cross section measurement for $E_T \leq 50$ GeV [3]. A precise measurement of the spectator interaction energy is essential for the modeling/understanding of non-perturbative QCD effects and for any quantitative improvement of the jet studies. Another important question is whether the presence of a hard interaction in the event influences the spectator interactions.

The measurement of the momentum in minimum bias events is important in its own right as it is used to estimate the effect of pile-up events on any signal at hadron colliders, where, due to high instantaneous luminosity, several interactions may occur in the same bunch crossing. In this paper, we present a measurement of the momentum deposited far from the jets in $\bar{p}p$ interactions at $\sqrt{s} = 1800$ and $\sqrt{s} = 630$ GeV and compare our measurement with the momentum observed in minimum bias events and with the predictions from two Monte Carlo models. The study of *interjet* soft gluon radiation is also of special interest in QCD as its emission originates from the flow of color between jets. The analysis of such observables may lead to a better understanding of color neutralization. [9, 10]

The study reported in this paper is complementary to our previous analysis [11], which examined the evolution of event structure in $\bar{p}p$ interactions at $\sqrt{s} = 1800$ GeV by studying charged particle jets from 0.5 GeV/c to 50 GeV/c and found that the momentum transverse to the leading jet rises rapidly in the 0.5-5. GeV/c range and is almost constant when the leading charged particle jet has transverse momentum greater than about 10 GeV/c.

To study the underlying momentum in jet events, we define two cones with radius $R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2} = 0.7$ centered at $\eta = \eta^{(1)}$, and $\phi = \phi^{(1)} \pm 90^\circ$ where $(\eta^{(1)}, \phi^{(1)})$ is the centroid of the highest energy jet in the event as shown in Figure 1. The sum of the transverse momenta of all tracks in the two cones is labeled $P_T^{90,min}$ and $P_T^{90,max}$, where $P_T^{90,max}$ is higher of the two values. By definition, $P_T^{90,max}$ should contain a larger contribution from initial and final state radiation than $P_T^{90,min}$. In the approximation of a negligible four parton final state component, $P_T^{90,min}$ is a measure of the underlying momentum in the jet event. In minimum bias data, we perform a similar analysis but with the cone centroid selected randomly in the central rapidity region, $|\eta| < 0.7$. We also use a second procedure, the *Swiss cheese* method, in which the transverse momenta of all the tracks except those in the two or three highest energy jets are summed and compared with Monte Carlo predictions and minimum bias data.

The data were collected using the CDF detector [12] at the Fermilab Tevatron Collider at

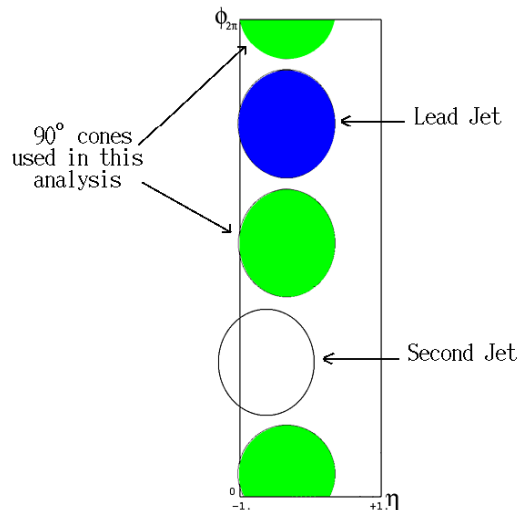


FIG. 1: An example of a two jet event in the detector region under study. The cones used for the determination of the underlying event contribution are at $\eta = \eta^{(1)}$ and $\phi = \phi^{(1)} \pm 90^\circ$ where $(\eta^{(1)}, \phi^{(1)})$ is the centroid of the highest E_T jet in the event.

$\sqrt{s} = 1800$ GeV (1994-1995) and $\sqrt{s} = 630$ GeV (1995). The CDF detector is a multipurpose detector consisting of a tracking system in a solenoidal magnetic field, calorimeters, muon chambers and two arrays of scintillator counters (BBC) located at ± 5.8 m from the nominal interaction point along the beam direction, covering the $3.2 \leq |\eta| \leq 5.9$ region. Minimum bias events were triggered by a coincidence of hits in these counters. The BBC cross section is 51.15 ± 1.60 mb compared to a total inelastic cross section for $\bar{p}p$ interactions of 60.33 ± 1.40 mb at $\sqrt{s} = 1800$ GeV [13]. The jet data were collected using four triggers requiring a cluster of energy in the calorimeter with $E_T \geq 20, 50, 70$ and 100 GeV at $\sqrt{s} = 1800$ GeV. These data samples, and the jet clustering and energy corrections have been described in detail in [3]. The data at $\sqrt{s} = 630$ GeV employed two triggers requiring a cluster with $E_T \geq 5$ and 15 GeV respectively. The jet energies were corrected for any energy loss in the detector. At both energies we use only those events in which the highest energy jet is within the central rapidity region, $|\eta| < 0.5$.

The tracking system consists of a silicon vertex detector (SVX'), a vertex tracking chamber (VTX), and a central tracking chamber (CTC) [14]. The vertex reconstruction is performed using information from the VTX and the CTC. The jet data were required to have one and only one primary vertex of high quality (corresponding to a high track mul-

tiplicity). For minimum bias data, the requirement is changed to one vertex of medium quality. This requirement is implemented in order to restrict the events to those in which only one interaction occurred during that beam crossing.

Track reconstruction takes place primarily using hit information from the CTC. In order to ensure a high quality for the reconstructed tracks, each track is required to have at least four hits in each of the five axial super-layers and hits in at least one stereo super-layer. The momentum resolution in the rapidity region $|\eta| \leq 1$ is better than $\delta P_T/P_T^2 \leq 0.002$ (GeV/c) $^{-1}$. We require the tracks to have $P_T \geq 0.4$ GeV/c and to be within 5 cm in the longitudinal and 0.5 cm in the transverse direction of the $\bar{p}p$ vertex. The uncertainty on the quantities measured in this analysis is evaluated by increasing these cuts to 10 cm and 5 cm respectively. The charged track reconstruction efficiency is uniform in rapidity for $|\eta| \leq 1$ and is on average $\sim 92 \pm 3\%$ [15]. The efficiency drops to 80% at low P_T (0.4-0.5 GeV/c) and to 60% in the region $1.0 \leq |\eta| \leq 1.2$. We correct the data for the inefficiency in both regions. The main systematic uncertainty in our analysis arises from the track selection criteria and from the track reconstruction efficiency. For all the measurements in this paper, the statistical and systematic errors have been added in quadrature.

The data were compared to Monte Carlo predictions from the programs HERWIG and PYTHIA [21]. At 1800 GeV, four samples of jet events were generated with HERWIG and PYTHIA, with a minimum transverse momentum for the hard scattering of 20, 40, 60 and 80 GeV, for the four samples. The leading jet in the generated distributions was required to have a transverse energy of 40, 75, 100 and 130 GeV, respectively. The output from both Monte Carlo programs consists of the 4-vectors of the final state hadrons. For comparison to the Monte Carlo predictions, the data were corrected for the track reconstruction efficiency.

In Figure 2, a comparison of the $P_T^{90,max/min}$ distributions between data and Monte Carlo is shown. $P_T^{90,max}$ increases as the leading jet E_T increases, both in the data and in the Monte Carlo predictions. The $P_T^{90,min}$ distributions are almost independent of $E_T^{(1)}$ indicating that any contribution from higher order radiation, at least in this η - ϕ region, is small. Both the Monte Carlo predictions and the data show a similar behavior. The average values of $P_T^{90,max}$ and $P_T^{90,min}$ are given in Table I for different intervals of $E_T^{(1)}$, for the data and for the two Monte Carlos. Good agreement is observed with HERWIG, while PYTHIA lies above the data. The parameters of the underlying event model in PYTHIA can be adjusted more easily than those in HERWIG. We have attempted to reach a better agreement

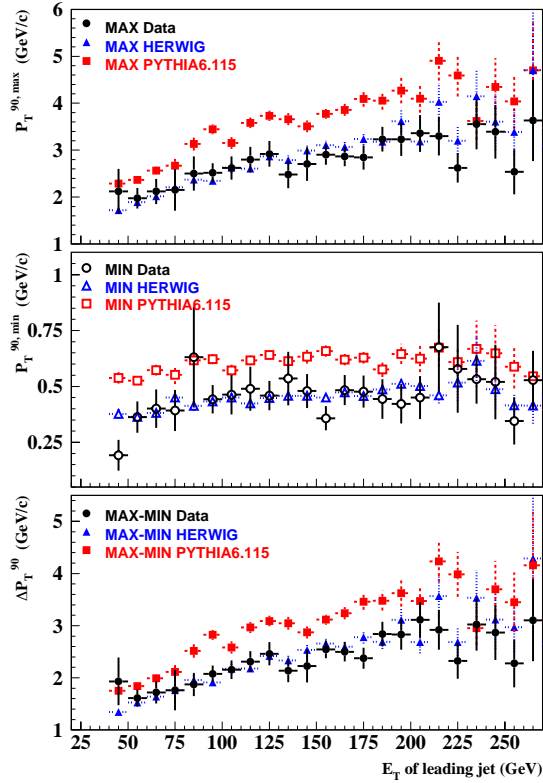


FIG. 2: $P_T^{90,max}$, $P_T^{90,min}$ and their difference ΔP_T^{90} as a function of the E_T of the highest energy jet at $\sqrt{s} = 1800$ GeV.

with the predictions from PYTHIA by using a more recent parton distribution function (CTEQ4L [16] instead of MRSG [17]), using the option of varying impact parameters with a matter distribution inside the hadron described by a simple Gaussian (MSTP(82)=3), and by decreasing the regularization scale of the transverse momentum spectrum for multiple interactions (P_{T0}) to 2.0 GeV/c from the default value of 2.3 GeV/c. Table II summarizes the Monte Carlo's default and tuned parameters. The behavior of PYTHIA with the adjusted parameters can be observed in Figure 3 and in the last column in Table I. The tuning leads to a better agreement with the data in the low $E_T^{(1)}$ region but leaves PYTHIA still somewhat larger in the high $E_T^{(1)}$ region.

In Figure 4, the total charged track momentum in the two cones ($min+max$) is shown for five different bins of $E_T^{(1)}$. The effects due to large angle (away from any jet) soft gluon emission are expected to be appreciable when the energy in the $min+max$ cones is larger than a few GeV and when the ratio of the lead jet energy to the energy in the cones is large.

TABLE I: Average P_T inside the *max* and *min* cone at $\eta = \eta^{(1)}$ and $\phi = \phi^{(1)} \pm 90^\circ$ for $\sqrt{s} = 1800$ GeV data. In data, the first errors shown are statistical and the second are systematic.

$E_T^{(1)}$ (GeV)	DATA	HERWIG	PYTHIA (default)	PYTHIA (tuned)
$P_T^{90,max}$ (GeV/c)				
40-80	2.04±0.09±0.21	1.92±0.04	2.43±0.04	2.19±0.04
80-120	2.64±0.09±0.19	2.49±0.05	3.39±0.06	2.96±0.06
120-160	2.89±0.09±0.22	2.95±0.05	3.69±0.06	3.56±0.06
160-200	3.27±0.10±0.22	3.21±0.07	4.02±0.09	3.93±0.09
200-270	3.64±0.21±0.24	3.59±0.16	4.35±0.17	4.24±0.19
$P_T^{90,min}$ (GeV/c)				
40-80	0.37±0.03±0.06	0.38±0.01	0.54±0.01	0.38±0.01
80-120	0.47±0.02±0.07	0.43±0.01	0.61±0.01	0.44±0.01
120-160	0.42±0.02±0.06	0.45±0.01	0.64±0.01	0.48±0.01
160-200	0.46±0.02±0.06	0.48±0.01	0.62±0.02	0.53±0.02
200-270	0.53±0.05±0.07	0.50±0.02	0.63±0.03	0.53±0.04

Such emissions are included in an approximate way in existing parton shower Monte Carlos and a detailed comparison may lead to improvements in their treatment [18]. Qualitatively, HERWIG and PYTHIA agree with the data, although the PYTHIA prediction tends to be higher than the data for larger values of transverse momentum in the two cones.

The average number of tracks found inside the two cones is shown in Figure 5, plotted as a function of $E_T^{(1)}$. A slightly higher track multiplicity is observed in both of the simulations than in the data.

In Table III, the mean values of the total track P_T and the mean number of tracks inside a cone randomly placed in the region $|\eta| \leq 0.5$ are shown for all minimum bias events and for those with a high quality vertex only. For the entire sample, the mean transverse momentum ($P_T^{MB,cone}$) in the cone is about 0.36 ± 0.04 GeV/c. The average for the $P_T^{90,min}$ cone over the measured $E_T^{(1)}$ range is approximately 0.45 GeV/c, or midway between the above values.

The track multiplicity and track momentum distributions in minimum bias data are

TABLE II: Monte Carlo’s default and tuned parameters. MSTP(82) defines the structure of the multiple parton interactions; PARP(82) is the regularization scale of the transverse momentum spectrum for multiple interactions (with $\text{MSTP}(82) \geq 2$); PARP(85) and PARP(86) are the probability that the multiple interaction produces two gluons with color connections to the nearest neighbors (or as a closed gluon loop) [2].

	PYTHIA			HERWIG
	default 6.115	tuned 6.115	tuned 6.115	default 5.6
	1800 and 630 GeV	1800 GeV	630 GeV	1800 and 630 GeV
Parton distribution function	MRSB	CTEQ4L	CTEQ4L	CTEQ3L
MSTP(82)	1	3	3	-
PARP(82)	-	2.0 GeV/c	1.4 GeV/c	-
PARP(85)	0.33	1	1	-
PARP(86)	0.66	1	1	-

shown in Figure 6, with the number of entries in the simulation normalized to the number in the data. The transverse momentum distribution at high P_T is not well-reproduced by HERWIG, which has virtually no tracks with $P_T \geq 4$ GeV/c. The absence of high P_T tracks indicates the lack of a semi-hard processes in the HERWIG model of minimum bias events. In contrast, PYTHIA reproduces the transverse momentum distribution considerably bet-

TABLE III: Mean $P_T^{MB,cone}$ and the mean number of tracks in a random cone of radius 0.7 in $\sqrt{s} = 1800$ GeV minimum bias data. Only systematic errors are shown. Statistical errors are less than 0.5%.

		$P_T^{MB,cone}$ (GeV/c)	Track Multiplicity
DATA	all vertices	0.36 ± 0.04	0.45 ± 0.06
	high quality vertex	0.57 ± 0.06	0.69 ± 0.09
HERWIG		0.31	0.44
PYTHIA (tuned)		0.35	0.44

ter. The model of multiple parton interactions incorporated in the PYTHIA description of minimum bias events allows for the possibility of high transverse momentum tracks. Neither HERWIG nor PYTHIA appears to correctly describe the high multiplicity end of the track multiplicity distribution.

As previously described, the *Swiss cheese* distribution is formed by summing the transverse momentum of the tracks in the central region ($|\eta| \leq 1$), excluding the transverse momentum of the tracks in a radius 0.7 from the center of the two (or three) most energetic jets in the event (where an E_T requirement of 5 GeV has been placed on each jet).

The sum of the track transverse momentum in the central region for the 2-jet subtracted and 3-jet subtracted distributions is shown in Figure 7 for the data, HERWIG and the version of PYTHIA tuned for best agreement with the *max/min* cone data. In the simple picture presented earlier, the difference between the *Swiss cheese* level with the 2 highest E_T jets subtracted and the corresponding minimum bias level should be proportional to

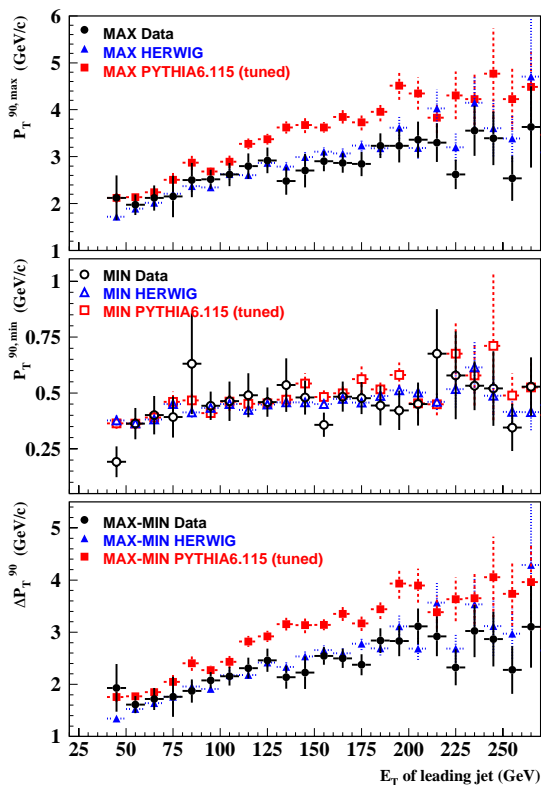


FIG. 3: $P_T^{90,max}$, $P_T^{90,min}$ and their difference ΔP_T^{90} as a function of the E_T of the highest energy jet at $\sqrt{s} = 1800$ GeV. PYTHIA has been tuned to reproduce the data.

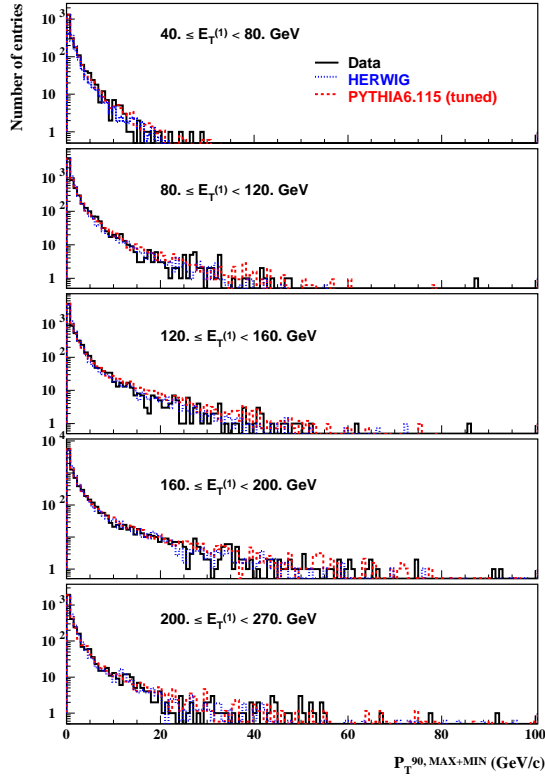


FIG. 4: The distributions for the total p_T in the sum of the *max* and *min* cones is plotted for five different bins of the E_T of the highest energy jet. Data, HERWIG and PYTHIA distributions are shown at $\sqrt{s} = 1800$ GeV.

the NLO (third parton) and higher order contributions. The *Swiss cheese* level with the three highest E_T jets subtracted should have little or no NLO contribution. Both the 2-jet subtracted and 3-jet subtracted distributions increase as the lead jet E_T increases, with the slope being less for the 3-jet subtracted case. The 3-jet subtracted *Swiss cheese* average $P_T/(\text{unit } \eta-\phi)$ is 0.92 ± 0.09 GeV/c compared to 0.37 ± 0.04 GeV/c observed in active minimum bias data. The larger momentum observed in the 3-jet subtracted *Swiss cheese* distribution indicates additional contributions than just those from the soft underlying event. These contributions include hadronization from the jets (*splash-out*), double-parton scattering, higher order radiation effects [19], as well as contributions from 3rd jets that fail the E_T threshold cut of 5 GeV. For comparison, the average momentum/(unit $\eta-\phi$) in the *min(max)* cone is 0.29 ± 0.04 (1.91 ± 0.14) GeV/c.

To study the energy dependence, we have analyzed jet and minimum bias data at $\sqrt{s} =$

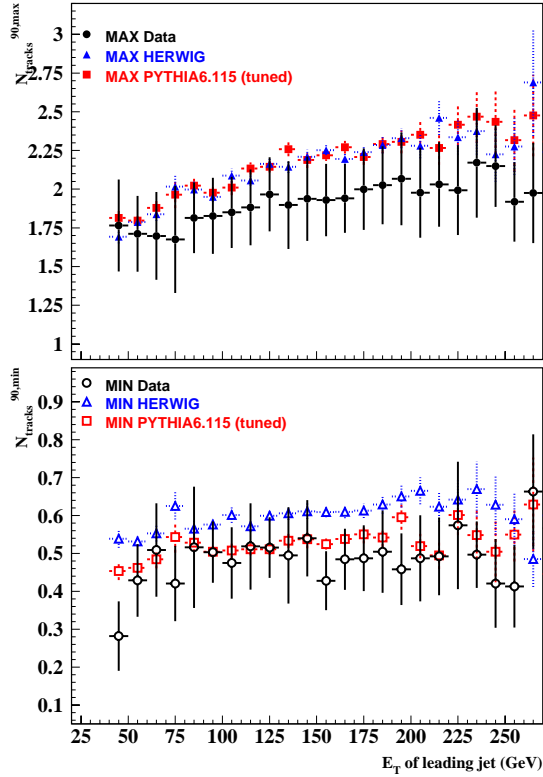


FIG. 5: Number of tracks in the *max* and *min* cone as a function of the E_T of the leading jet. Data, HERWIG and PYTHIA distributions are plotted at $\sqrt{s} = 1800$ GeV.

630 GeV. In Figure 8, $P_T^{90,max}$ and $P_T^{90,min}$ (and their difference) are plotted as a function of $E_T^{(1)}$. The $\sqrt{s} = 630$ GeV data shows a similar behavior as was observed at $\sqrt{s} = 1800$ GeV but the overall magnitudes are lower. The average $P_T^{90,min}$ ($P_T^{90,max}$) at $\sqrt{s} = 630$ GeV is 0.25 ± 0.04 (1.43 ± 0.12) GeV/c, ~ 0.2 (~ 1.5) GeV/c lower than what is observed at $\sqrt{s} = 1800$ GeV. Both HERWIG and PYTHIA reproduce the data at 630 GeV well. PYTHIA has been tuned as for the analysis at 1800 GeV, but with the regularization scale, P_{T0} , set to 1.4 GeV/c. A dependence of P_{T0} on the center of mass energy has been implemented in versions of PYTHIA after 6.12 according to the model described in [20]. This model, however, predicts a value for P_{T0} of 1.9 GeV/c for the 630 GeV data while in the 1800 GeV data the prediction is 2.3 GeV/c, showing a smaller dependence on the center-of-mass energy than that observed in our data. Using the default values the PYTHIA predictions underestimates the number of charged particles at $\sqrt{s} = 630$ GeV.

The *Swiss cheese* distributions at 630 GeV are shown in Figure 9. A very good agree-

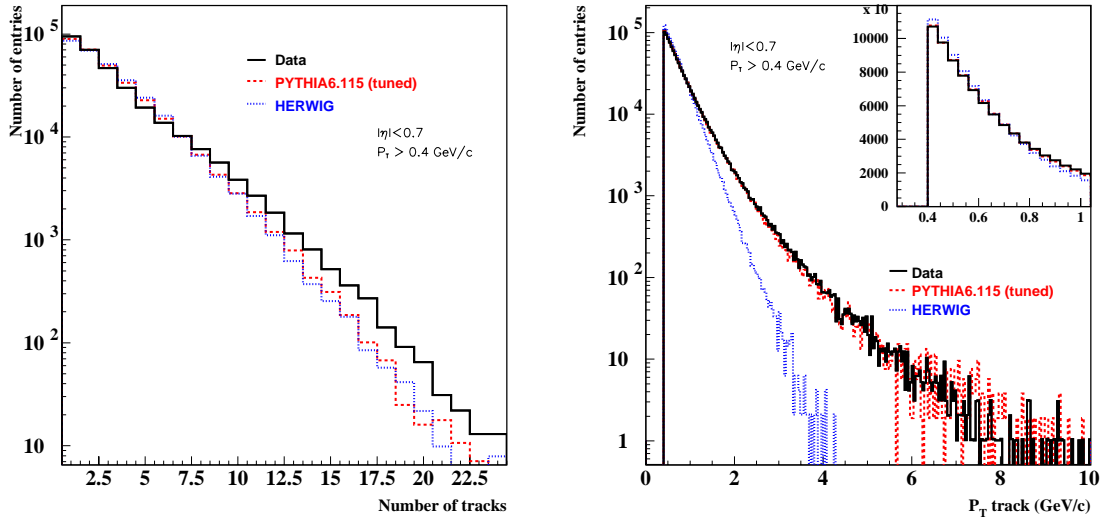


FIG. 6: Distribution of track multiplicity (left) and transverse momentum (right) in the $\sqrt{s} = 1800$ GeV minimum bias sample. The inset in the right plot shows the low P_T portion of the spectrum on a linear scale.

ment between data and both Monte Carlo is observed if the three most energetic jets are subtracted. In the case where the two most energetic jets are subtracted, both Monte Carlo lie above the data, as was also observed at 1800 GeV. Again, the momentum is larger when three jets are subtracted ($P_T/(\text{unit } \eta-\phi)$ is 0.52 ± 0.05 GeV/c) than in active minimum bias events ($P_T/(\text{unit } \eta-\phi)$ is 0.34 ± 0.03 MeV/c).

In Table IV are shown the average value of the total track P_T and the mean number of tracks inside a cone in the central rapidity region in minimum bias data at $\sqrt{s} = 630$ GeV. The sum of the track transverse momenta is 20% lower with respect to the 1800 GeV sample. Figure 10 shows the track multiplicity and momentum distributions for minimum bias events. Again, the number of entries in the simulation is normalized to the number of entries in the data. The track multiplicity distribution and the mean $P_T^{MB,cone}$, dominated by the low edge of the steeply falling spectrum, is well reproduced by both Monte Carlo generators. Unlike the situation at 1800 GeV, PYTHIA fails to produce enough high P_T tracks, although it still produces considerably more than HERWIG.

In summary we have studied the energy deposited in two cones at $\pm 90^\circ$ to the highest E_T jet in hard interaction events at $\sqrt{s} = 1800$ and 630 GeV. The maximum of the two cone energies increases with highest E_T jet in the event whereas the minimum is flat. Both HER-

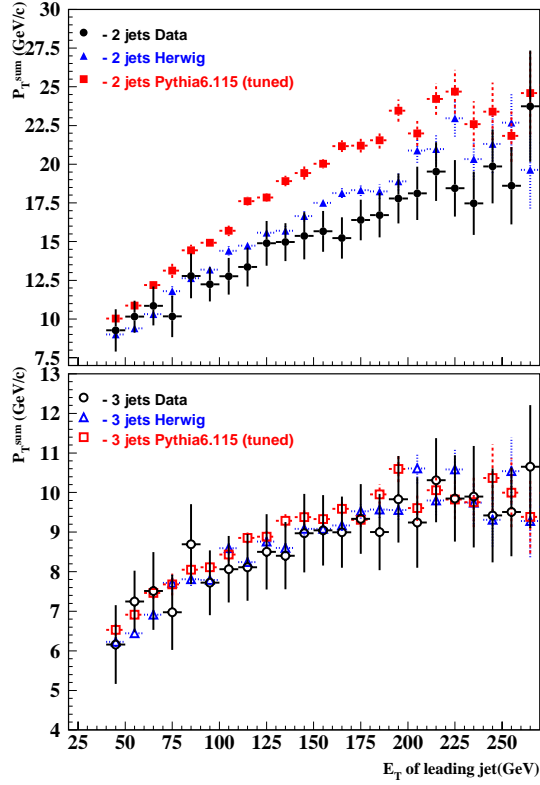


FIG. 7: P_T^{sum} (*Swiss cheese*). The two and three most energetic jets in each event are subtracted from the total transverse momentum in the central detector region. Data, HERWIG and PYTHIA results are shown at $\sqrt{s} = 1800$ GeV.

WIG and PYTHIA exhibit the same behavior but HERWIG provides a better description

		$P_T^{MB,cone}$ (GeV/c)	Track Multiplicity
DATA	all vertices	0.29 ± 0.03	0.37 ± 0.05
	high quality vertex	0.52 ± 0.05	0.65 ± 0.08
HERWIG		0.26	0.36
PYTHIA		0.28	0.38

TABLE IV: Data and simulation comparisons for minimum bias events at $\sqrt{s} = 630$ GeV. Average $P_T^{MB,cone}$ and the average number of tracks in a random cone of radius 0.7 are shown. Only systematic errors are shown. Statistical errors are less than 0.2%.

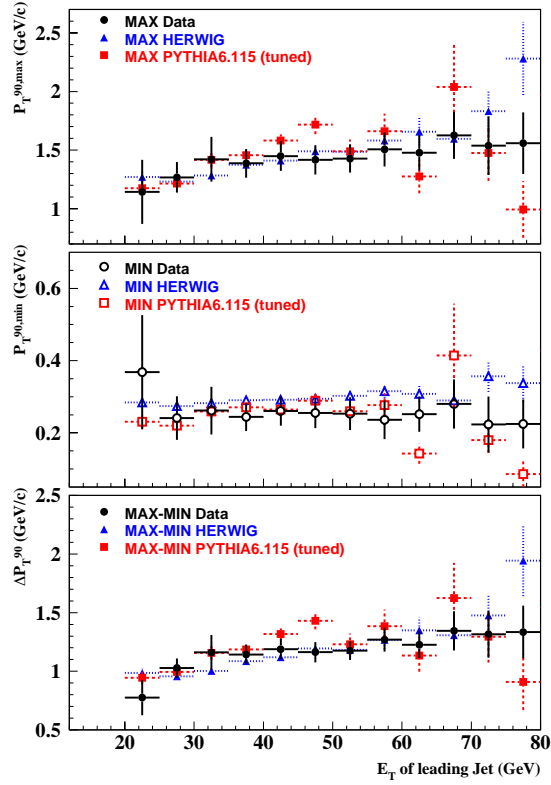


FIG. 8: $P_T^{90,min}$, $P_T^{90,max}$ and their difference ΔP_T^{90} at $\sqrt{s} = 630$ GeV as a function of the E_T of the leading jet.

of the CDF data. The momentum in the *min* cone is midway between the levels observed in generic minimum bias events and minimum bias events selected by high track multiplicity. In the HERWIG minimum bias model, the generated tracks are too soft, and semi-hard or hard interactions should be added to the minimum bias events in order to better reproduce the data. PYTHIA, however, with an adequate tuning of its parameters, reproduces the charged particle distribution better than HERWIG for the 1800 GeV minimum bias data, but is less successful at 630 GeV. We have measured the \sqrt{s} dependence of the underlying event momentum in jet events and ambient energy in minimum bias events. The underlying momentum at $\sqrt{s} = 630$ GeV is 45% lower than what is observed in 1800 GeV data. These measurements will allow for more precise tunings of both the underlying event in Monte Carlo programs and the mechanisms for gluon radiation, help to reduce the uncertainties in future jet studies at the Tevatron and will lead to a better prediction of physics signals and

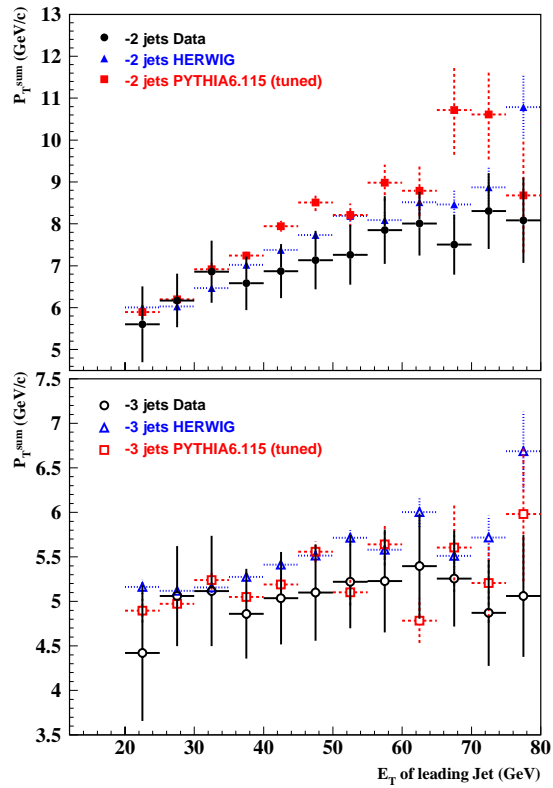


FIG. 9: P_t^{sum} (*Swiss cheese*). The two and three most energetic jets in the events are subtracted from the total transverse momentum in the central detector region. Data, HERWIG and PYTHIA results are shown at $\sqrt{s} = 630$ GeV.

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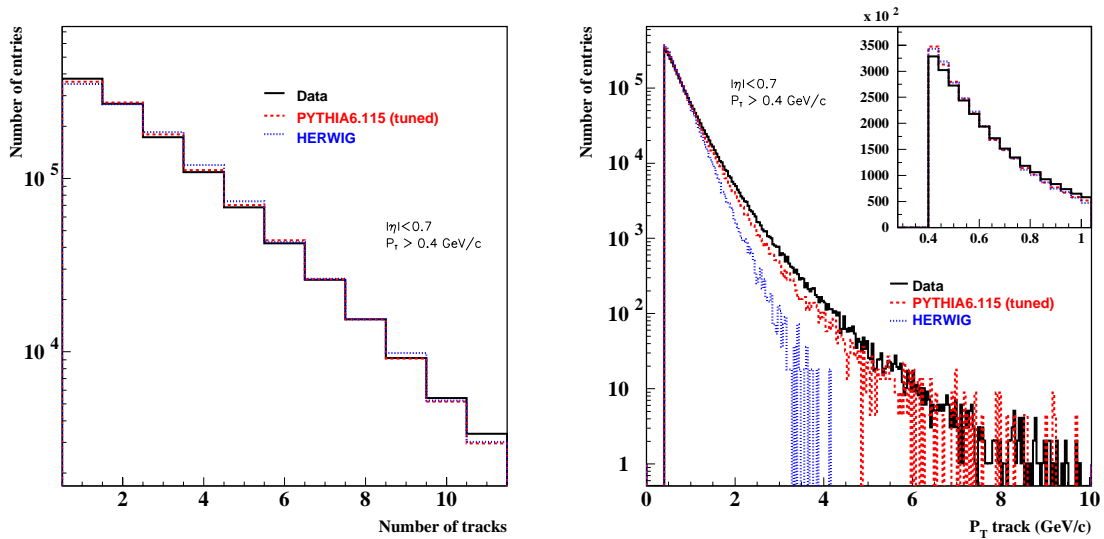


FIG. 10: Distribution of track multiplicity (left) and transverse momentum (right) in the $\sqrt{s} = 630$ GeV minimum bias sample. The inset in the right plot shows the low P_T portion of the spectrum on a linear scale.

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